Coller Capital

Slavery and Human Trafficking Statement, 2026

Background

The UK Modern Slavery Act 2015 (the "Act") requires covered commercial organisations operating in the UK to report annually on the steps they have taken during the preceding financial year to ensure that slavery and human trafficking are not taking place in their own businesses or in their supply chains.

This Slavery and Human Trafficking Statement (this "Statement") has been produced by Coller Capital Limited (the "Company"), a private English company that is authorised and regulated by the Financial Conduct Authority in the UK and forms part of the Coller Capital group of companies ("Coller Capital" or "the firm").

As an office-based financial services business operating across largely developed markets, we believe we are at generally lower risk of potential exposure to modern slavery and human trafficking. Nonetheless, we take our legal obligations seriously and we seek to improve applicable risk management practices over time. We are similarly mindful of changing expectations and guidance related to modern slavery and human trafficking, including the updated guidance relating to the Act published by the UK Government in March 2025.

This Statement is made in accordance with section 54 of the Act and reports on the steps taken during the financial year that ended on 31st March 2026, and steps planned for the financial year to 31st March 2027, with a view towards ensuring that slavery and human trafficking are not taking place in the firm's business or its supply chains.

Organisational Structure and Supply Chains

Coller Capital is a global private markets secondaries firm, headquartered in London. The firm sponsors a number of funds ("Coller Funds") that mainly acquire positions in third-party private equity and private credit funds from existing investors, and portfolios of unquoted companies from their corporate or institutional owners.

Coller Capital carries on business in the UK through the Company, which acts as a non-discretionary adviser in respect of the Coller Funds.

As an investor in global private secondaries markets, our actions around slavery and human trafficking relate to:

- **Seeking to ensure that slavery or human trafficking is not taking place in the firm's own supply chain.**

  The supply chain pertaining to the firm's business encompasses service providers that one would typically expect for an office-based financial services business, covering professional services, information technology, communications, building services/facilities, food/catering, travel, office furniture, among other goods and services. We regard providers of these types of services to be generally of relatively low risk (noting the somewhat elevated potential risk for cleaning services, food providers and transport) and believe there is, therefore, a lower overall risk of slavery and human trafficking in connection with our business and supply chain.

- **Exercising our influence over managers of portfolio funds into which Coller Funds invest to encourage the adoption of measures to reduce slavery and human trafficking.**

  We do not regard Coller Funds (which are typically co-mingled funds or separately managed accounts, which invest into third-party managed funds indirectly), as part of our supply chain. However, we adopt a responsible approach to investment; further information on our approach can be viewed at:

https://www.collercapital.com/responsible-investment/

Organisational Policies

Coller Capital is committed to preventing any form of slavery or human trafficking in its business and supply chains. The specific anti-slavery and human trafficking measures adopted by the firm form part of its overarching environmental, social and governance ("ESG") framework, which is overseen by the firm's ESG Committee and based on a written ESG Policy.

Coller Capital's ESG Policy sets out the firm's approach to responsible investment, in line with its status as a signatory to the United Nations-supported Principles for Responsible Investment (PRI). The firm has developed an Anti-Slavery and Human Trafficking addendum to the ESG Policy to serve as guidance for its implementation efforts under the Act.

This Statement and our ESG Policy have been approved by the Company's board of directors.

Due Diligence, Assessing and Managing Risk

Coller Capital reviews its business and supply chains regularly to identify the main risk areas where slavery or human trafficking could exist. This exercise is largely focused on engaging with the firm's suppliers. Selectively, the firm also reviews risks related to current or prospective investments by Coller Funds.

Following a risk-driven approach, Coller Capital's engagement has largely focused on key suppliers. For this purpose, the firm has ranked supplier relationships based on fees or other amounts spent; in addition, another important consideration has been identifying which suppliers operate in sectors considered to present generally heightened risks of slavery or human trafficking. Over time, we have expanded the number of suppliers to be included in the assessment.

Responsible Procurement

Coller Capital has a responsible procurement philosophy and engages with its suppliers on various ESG factors. The firm has introduced into its procurement process a requirement that relevant suppliers address modern slavery risks and, in the case of suppliers subject to the Act, comply with their obligations under the Act.

On an annual basis, key suppliers are issued with a formal letter about the Act to obtain information and confirmation that slavery and human trafficking is not taking place in their businesses. The results of this exercise inform the firm's overall risk assessment and any further implementation steps.

When undertaking and documenting its annual risk assessment, the firm reviews published information sources and makes use of a third-party database ("RepRisk") to identify any media coverage of key suppliers in respect of modern slavery. RepRisk is also used to monitor key suppliers on an on-going basis ('watchlist') from a wider ESG perspective, including any allegations pertaining to slavery and human trafficking risk.

https://www.reprisk.com/

Most suppliers examined as part of our review had modern slavery statements readily available, although there was substantial variation in depth of disclosure.

Through our third-party monitoring of our key suppliers, we became aware of incidences of slavery-related allegations in our supply chain during the last financial year. These relate to large corporate providers of either food or information technology services with exposure to complex supply chains.

On a risk-driven and as-needed basis, we have, in the past, and will, where appropriate, in future, commission enhanced analysis of certain suppliers we view as presenting a relatively higher potential risk of modern slavery and human trafficking.

We last undertook such an evaluation in 2024 for 19 suppliers (across all offices), using an external third-party service provider specialising in open-source intelligence (OSINT) techniques. No material slavery or human trafficking issues were flagged through this exercise. No material changes have occurred across our supply chain to warrant such an evaluation in 2026.

Responsible Investment

As far as investments by Coller Funds are concerned, Coller Capital seeks appropriate information on ESG factors relating to portfolio funds and, to the extent available and relevant, underlying investments. This information is integrated into the firm's analysis, review, and decision-making processes, both before and after making an investment. The risks of slavery and human trafficking represent one of many ESG factors considered when investment recommendations or decisions are made and are also reflected in Coller Capital's ESG Questionnaire that managers of portfolio funds are asked to complete on an annual basis.

Consistent with Coller Capital's role as a private equity secondaries firm, the firm's approach to ESG matters in respect of investments by Coller Funds varies depending on the firm's ability to exercise influence in each case.

Training and Awareness

Coller Capital has made available to its employees' awareness-raising materials about slavery and human trafficking and on the implications of the Act for the firm's business.

The firm's objective in providing training and information is to provide employees with enough knowledge and understanding to identify potential slavery and human trafficking risk factors and to highlight the steps taken and planned by the firm to address these risks.

Advocacy and Engagement

As an investor in global private secondaries markets, Coller Capital recognises the importance of influence in its approach to investing. This means, among other things, that the firm seeks to leverage its role as a responsible investor to raise awareness of, and mitigate the risk of, modern slavery in supply chains, including those relating to investments by Coller Funds.

As part of its broader engagement activities related to the issues of modern slavery and human trafficking, Coller Capital has, during the reporting period, contributed to the development and promotion of the following:

- Issuance of thematic notes on various ESG factors (observations and practices) to managers of portfolio funds, including one on the topic of "Human Rights Due Diligence".

- Assessment of some of the largest underlying asset exposures for human rights issues (among other ESG risk indicators) in conjunction with external third-party service providers.

During the next reporting period Coller Capital plans to take the following measures:

- At firm level:
  - Continue to enhance our engagement with suppliers and amend our risk assessment processes as appropriate.
  - Continue to raise awareness of modern slavery and human trafficking among our workforces.
  - Determine whether any further enhanced analysis using OSINT techniques is required for any new suppliers not already subject to this type of assessment.
  - Explore the further application of third-party artificial intelligence ("AI") technology platforms to enhance our evaluation of material supply chain risks.

- At the level of Coller Fund investments:
  - Continue to apply existing processes when undertaking due diligence on prospective investment opportunities and to raise modern slavery and human trafficking risks with underlying managers, both within the firm's annual ESG Survey (of underlying managers) and when analysing investment opportunities.
  - Continue to monitor applicable investments (using RepRisk) for allegations of slavery and human trafficking through a watchlist.
  - Revisit the firm's "Human Rights Due Diligence" note issued to applicable managers last year, which may be re-issued in updated and amended form, if appropriate.
  - For relevant investments, expand the use of OSINT approaches to identify slavery and human trafficking risk at the underlying asset level.
  - Explore the further application of third-party AI technology platforms to enhance our evaluation of material supply chain risks.

The Company is committed to continuing to take positive steps to prevent slavery and human trafficking in its business and supply chains.

Monitoring and Evaluation

Our on-going monitoring and evaluation of the firm's supply chain has been a feature of our approach for many years. Our approach is to typically focus on our larger and key supplier relationships with more enhanced analysis of a broader range of suppliers and service providers undertaken where considered necessary.

The table below summarises some of work in this area for the top 40 largest suppliers across our global footprint.

Supplier	Sector/Activity	Geography	Third-Party ESG Risk Rating[1]	Controversies (slavery and/or trafficking)[1] Yes/No	Published MSA Policy (or similar)[2] Yes/No	Engaged with Coller Yes/No
1	Property manager	USA	-	No	No	Yes
2	Law firm	UK	Low	No	Yes	Yes
3	Law firm	UK	Low	No	Yes	Yes
4	Technology firm	UK	-	No	Yes	Yes
5	Lighting firm	USA	Low	No	No	Yes
6	Marketing firm	UK	-	No	Yes	Yes

7	Private healthcare	Hong Kong	-	No	Yes	Yes
8	Insurance firm	UK	Low	No	Yes	Yes
9	Law firm	Guernsey	Low	No	Yes	Yes
10	IT solutions	UK	Low	No	Yes	Yes
11	Technology firm	UK	Low	No	No	Yes
12	Design agency	UK	-	No	No	Yes
13	Travel agency	UK	Low	No	Yes	Yes
14	Events firm	USA	-	No	No	Yes
15	Law firm	USA	Low	No	Yes	Yes
16	Technology firm	USA	Low	No	Yes	Yes
17	Finance firm	UK	Low	No	Yes	Yes
18	Financial services	Luxembourg	Low	No	Yes	Yes
19	Recruitment firm	UK	Low	No	Yes	Yes
20	Property manager	Hong Kong	-	No	No	Yes
21	Insurance firm	UK	Low	No	Yes	Yes
22	Security firm	UK	Low	No	Yes	Yes
23	Media firm	UK	-	No	Yes	Yes
24	Recruitment firm	UK	-	No	No	Yes
25	Wealth manager	USA	Moderate	No	Yes	Yes
26	Law firm	UK	Low	No	Yes	Yes
27	Technology firm	USA	-	No	No	Yes
28	Financial services	UK	Low	No	Yes	Yes
29	Data consultant	UK	-	No	No	Yes
30	Accountancy firm	Luxembourg	Low	No	Yes	Yes
31	Recruitment firm	UK	Low	No	Yes	Yes
32	Real estate firm	UK	-	No	Yes	Yes
33	Financial services	UK	Low	No	Yes	Yes
34	Financial services	UK	Low	No	Yes	Yes
35	Law firm	UK	Moderate	No	Yes	Yes
36	Financial services	UK	-	No	No	Yes
37	Media firm	UK	Low	No	Yes	Yes
38	Financial services	Spain	Low	No	No	Yes
39	Hotel group	UK	-	No	Yes	Yes
40	Technology firm	UK	Low	No	Yes	Yes

[1]*Where uploaded to the monitoring platform in time for the reporting period*

[2]*For the current reporting period*

[3]*Where no obvious Policy was readily identifiable from public sources; we engaged and obtained comfort of the awareness of the issues and risk management approach adopted.*

Approval

This statement is made in accordance with Section 54(1) of the Modern Slavery Act 2015 and is Coller Capital's slavery and human trafficking statement for the financial year that ended on 31st March 2026. This statement was approved by the undersigned on behalf of the Company's Board of Directors on 14th April 2026.

Helen Lamb

Co-Chief Operating Officer

14th April 2026